

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In re Patent Application:** Zachary W. Lyon  
**Application No.:** Unassigned  
**Filed:** March 10, 2004  
**Title:** "Bicycle Identity Plate with Integrated  
 Sound Producing Element"

**Confirmation No.:** Unassigned  
**Examiner:** Unassigned  
**Group Art Unit:** Unassigned

Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ (X) under 37 CFR 1.97(b), or  
 (Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ ( ) under 37 CFR 1.97 (c) together with either a:  
☐ ( ) Statement under 37 CFR 1.97(e), or  
☐ ( ) a \$180.00 fee under 37 CFR 1.17(p), or  
 (After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ ( ) under 37 CFR 1.97 (d) together with a:  
☐ ( ) Statement under 37 CFR 1.97(e)(1) or (2), and  
☐ ( ) a \$180.00 fee set forth in 37 CFR 1.17(p).  
 (Filed after final action, a notice of allowance, on or before payment of the issue fee)

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☒ (X) Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ ( ) A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

☒ (X) I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to: MS Patent Application, Commissioner for Patents, Alexandria, VA 22313-1450.

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Typed Name: **Rebecca R. Schow**

Respectfully submitted,

By: 

**Steven L. Nichols** (Reg. No.: 40,326)  
 Attorney/Agent for Applicant(s)

Telephone No.: (801) 572-8066  
 Date: March 10, 2004

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**(Use as many sheets as necessary)**

Sheet

1

of

1

Attorney Docket Number

40009-0002

**Complete if Known**

Application Number

Unassigned
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Filing Date

**March 10, 2004**

First Named Inventor	
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Zachary W. Lyon

Art Unit
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Unassigned
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Examiner Name
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Unassigned
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Attorney Docket Number
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40009-0002

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

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**Examiner  
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Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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